

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

HTS
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SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2015

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.21%
Limit orders as percentage of total non-directed orders	13.66%
Other orders as percentage of total non-directed orders	0.13%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	38.66%
2. Citadel Securities LLC	32.35%
3. Goldman, Sachs & Co.	10.57%
4. EDGX Exchange, Inc.	7.22%
5. UBS Securities, LLC	4.90%
6. Instinet LLC	2.32%
7. New York Stock Exchange	1.29%
8. Two Sigma Securities, LLC	1.16%
9. Citigroup Global Markets, Inc.	0.90%
10. AlterNet Securities Inc.	0.26%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.00%
Limit orders as percentage of total limit orders	16.98%
Other orders as percentage of total other orders	100.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.98%
Limit orders as percentage of total limit orders	16.04%
Other orders as percentage of total other orders	0.00%

3. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	11.96%
	Limit orders as percentage of total limit orders	1.89%
	Other orders as percentage of total other orders	0.00%
4. EDGX Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	52.83%
	Other orders as percentage of total other orders	0.00%
5. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	5.53%
	Limit orders as percentage of total limit orders	0.94%
	Other orders as percentage of total other orders	0.00%
6. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.69%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. New York Stock Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	9.43%
	Other orders as percentage of total other orders	0.00%
8. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.35%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.90%
	Limit orders as percentage of total limit orders	0.94%
	Other orders as percentage of total other orders	0.00%
10. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.30%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	83.04%
Limit orders as percentage of total non-directed orders	15.85%
Other orders as percentage of total non-directed orders	1.12%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	39.73%
2. Citadel Securities LLC	32.14%
3. Goldman, Sachs & Co.	7.81%
4. UBS Securities, LLC	6.25%
5. EDGX Exchange, Inc.	5.58%
6. Instinet LLC	2.90%
7. Citigroup Global Markets, Inc.	2.68%
8. BATS Exchange, Inc.	0.67%
9. Two Sigma Securities, LLC	0.67%
10. National Financial Services LLC	0.45%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.94%
Limit orders as percentage of total limit orders	26.76%
Other orders as percentage of total other orders	60.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.68%
Limit orders as percentage of total limit orders	21.13%
Other orders as percentage of total other orders	0.00%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.14%
Limit orders as percentage of total limit orders	1.41%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.18%
Limit orders as percentage of total limit orders	7.04%
Other orders as percentage of total other orders	0.00%

5. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	35.21%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.23%
Limit orders as percentage of total limit orders	1.41%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.96%
Limit orders as percentage of total limit orders	1.41%
Other orders as percentage of total other orders	0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.54%
Limit orders as percentage of total limit orders	1.41%
Other orders as percentage of total other orders	0.00%

9. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.54%
Limit orders as percentage of total limit orders	1.41%
Other orders as percentage of total other orders	0.00%

10. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	20.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	46.08%
Limit orders as percentage of total non-directed orders	53.92%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	34.10%
2. KCG Americas LLC	34.10%
3. EDGX Exchange, Inc.	13.36%
4. Goldman, Sachs & Co.	8.76%
5. BATS Exchange, Inc.	5.07%
6. UBS Securities, LLC	2.30%
7. Two Sigma Securities, LLC	1.38%
8. Instinet LLC	0.46%
9. National Financial Services LLC	0.46%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.00%
Limit orders as percentage of total limit orders	32.48%
Other orders as percentage of total other orders	0.00%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	46.00%
Limit orders as percentage of total limit orders	23.93%
Other orders as percentage of total other orders	0.00%

3. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	24.79%
Other orders as percentage of total other orders	0.00%

4. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.00%
Limit orders as percentage of total limit orders	6.84%
Other orders as percentage of total other orders	0.00%

5. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.40%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.00%
Limit orders as percentage of total limit orders	1.71%
Other orders as percentage of total other orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.00%
Limit orders as percentage of total limit orders	0.85%
Other orders as percentage of total other orders	0.00%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	3.71%
Limit orders as percentage of total non-directed orders	96.29%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Nasdaq Options Market	44.92%
2. NYSE Arca Options	26.76%
3. The Chicago Board Options Exchange	11.72%
4. The MIAX Options Exchange	6.05%
5. NASDAQ OMX PHLX	3.91%
6. The Boston Option Exchange	2.93%
7. BATS Options Exchange, Inc.	2.15%
8. Citadel Securities LLC	0.78%
9. NYSE Amex Options	0.59%
10. Morgan Stanley & Co. LLC	0.20%

Information Concerning Significant Venues :

1. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	46.65%
Other orders as percentage of total other orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	27.79%
Other orders as percentage of total other orders	0.00%

3. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	47.37%
Limit orders as percentage of total limit orders	10.34%
Other orders as percentage of total other orders	0.00%

4. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.79%
Limit orders as percentage of total limit orders	5.68%
Other orders as percentage of total other orders	0.00%

5. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.79%
Limit orders as percentage of total limit orders	3.45%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	2.64%
Other orders as percentage of total other orders	0.00%

7. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.23%
Other orders as percentage of total other orders	0.00%

8. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.61%
Other orders as percentage of total other orders	0.00%

9. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.41%
Other orders as percentage of total other orders	0.00%

10. Morgan Stanley & Co. LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.20%
Other orders as percentage of total other orders	0.00%